



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
OLLIPHANT

Application No.: 09/633,962

Filed: August 8, 2000

For: *Method for Managing Group Finances via  
an Electronic Network*

Attorney Docket No. 10501-003-999

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
[37 CFR 1.9(f) and 1.27(c)] - Small Business Concern

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act in behalf of  
the concern identified below:

Name of organization Gmoney.com, Inc.

Address of organization 777 Florida Street, Suite 307, San Francisco, CA 94110

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor to convey rights to the small business concern with regard to the invention entitled METHOD FOR MANAGING GROUP FINANCES VIA AN ELECTRONIC NETWORK by inventor Hugo Olliphant, described in

- ☐ the specification filed herewith
- ☒ application no. 09/633,962 filed August 8, 2000
- ☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ INDIVIDUAL   ☐ SMALL BUSINESS CONCERN   ☐ NONPROFIT  
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

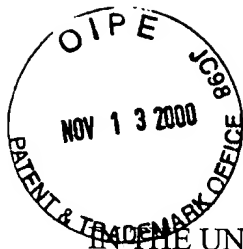
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to:	PENNIE & EDMONDS LLP	Direct Telephone calls to:
	3300 Hillview Avenue	Dion M. Bregman
	Palo Alto, CA 94304	(650) 493-4935

Name of person signing Hugo Olliphant  
Title of person other than owner Co-Founder and President  
Address of person signing 777 Florida Street, Suite 307, San Francisco, CA 94110

Signature  Date Nov 8, 2000

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.  
(37 CFR 1.27)



11-15-00

Director ✓

Express Mail No. EL 451 595 517 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

OLLIPHANT

Serial No. 09/633,962

Filed: August 8, 2000

Title: *Method for Managing Group Finances  
via an Electronic Network*

Attorney Docket No. 10501-003-999

Date: November 13, 2000

#3

RESPONSE TO THE NOTICE TO FILE MISSING PARTS OF APPLICATION

Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(f) mailed October 18, 2000, attached please find the Notice to File Missing Parts of Application, Part 2; a Small Entity Declaration; a Power of Attorney by Assignee; and an Assignment with Recordation Form Cover Sheet.

The fee for this response, including the filing fee, surcharge and recordation fee is estimated to be \$460.00. The Commissioner is hereby authorized to charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. This form is submitted in duplicate for such purpose.

Respectfully submitted,

PENNIE & EDMONDS LLP

By:

Dion M. Bregman, Reg. No. 45,645  
for William S. Galliani, Reg. No. 33,885

3300 Hillview Avenue  
Palo Alto, CA 94304  
(650) 493-4935



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/633,962	08/08/2000	Hugo Olliphant	10501-003-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

## FORMALITIES LETTER



\*OC00000005483265\*

Date Mailed: 10/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/16/2000 HVUONG1 00000009 161150 09633962

01 FC:201 355.00 CH  
02 FC:205 65.00 CH